

Governance, Risk and Compliance (GRC) Software

Still managing compliance in spreadsheets, paper files and email? Our GRC software is your solution for an organized, efficient compliance process.



Document

Upload policies, procedures, plans, contracts and evidence of operational compliance.



Assess

Compare documents and processes against requirements for various regulations both internally and externally.



Identify

Determine risk by categories, levels and priorities to efficiently achieve compliance goals.



Collaborate

Effectively communicate mitigation action items via automated team alerts and notifications.



Report

Receive high-level and detailed reports and graphs for any department in your organization.

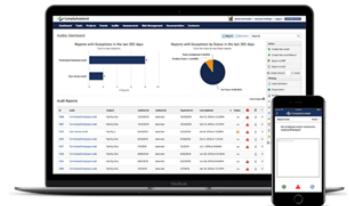


Monitor

Oversee an ongoing process for compliance management history, benchmarking and trending.

Cloud-based and flexible for any compliance regulation, our GRC software offers a full set of customizable features:

- Internal Assessments
- Audits
- Contract Management
- Incident Management
- Mobile Audits
- Project Management
- Risk Management
- Tasks
- Vendor Management
- Real-time Notifications
- Dashboard Reports



ComplyAssistant's cloud-based software solution allowed us to efficiently and effectively manage the entire compliance process, from assessment development and distribution through management of action items.

CIO, Cape Regional Health System

**We can scale to any size and scope.
Get a demo today.**

complyassistant.com/request-demo
800.609.3414

vCISO Program

Though HIPAA requires healthcare organizations to designate a security official who is responsible for managing security policies and procedures, most simply do not have the bandwidth or expertise to handle the volume of work needed.

Our vCISO Program can be tailored to any size or scope for any type of healthcare organization. We'll act as an extension of your own team, providing a wide variety of services.

You choose the services you need. We'll handle the rest.

vCISO program options:

- ▶ Information Privacy, Security and Breach Notification Audits
- ▶ Assistance with Risk Mitigation
- ▶ Facility Information Privacy and Security Walkthrough Audits
- ▶ Third Party (BA) Information Privacy and Security Audits
- ▶ Promoting Interoperability (formerly known as Meaningful Use) Audits
- ▶ Live & Web-Based Workforce Training
- ▶ AI Platform for Automated Review and Exception Management of Contracts (MSAs and BAAs)
- ▶ Cybersecurity Tactical Simulations
- ▶ Breach Detection and Monitoring
- ▶ Investigations of Potential and Actual Breaches
- ▶ Social Engineering Exercises
- ▶ Disaster Recovery/Business Continuity Table Top Exercises
- ▶ Internal Vulnerability and External Penetration Testing
- ▶ Participate in GRC Steering and Oversight Committee Meetings Upon Request
- ▶ Information Privacy, Security and Breach Notification Policy and Procedure Creation, Review and/or Updates

**No need to take on the burden of another FTE.
Let us handle your CISO responsibilities.**



complyassistant.com | 800.609.3414



As a former CISO, I know first-hand the struggles healthcare organizations face. It's not an easy or short-term endeavor. That's why we're proud to offer a vCISO program that enables healthcare organizations to improve their risk profiles and protect their patients. We can be that extra set of hands they need.

Gerry Blass,
President and CEO,
ComplyAssistant



Healthcare Compliance Consulting

Not enough resources in-house to handle compliance management? Let our team help.

Our unique approach combines seasoned expertise with user-friendly compliance software. We provide comprehensive and directive recommendations for long-term, sustainable compliance.

ENGAGEMENTS INCLUDE

- Completion of a variety of audits and assessments
- Documentation of each component for risk level, compliance level, current processes and controls, gaps and future plans
- Project plans with follow-up action items
- Executive summary
- Holistic risk mitigation roadmap for future planning and implementation
- We take an unbiased approach to reviewing your internal controls and processes and work 1-on-1 to create a plan that works for you.

- ✓ Information Privacy and Security
- ✓ Risk Assessments
- ✓ Facility Walkthroughs
- ✓ Third-Party Information Security Risk Assessments
- ✓ Cybersecurity Tactical Simulation
- ✓ Internal Vulnerability and External Penetration Testing

Additional consulting services:

vCISO | Disaster Recovery planning and testing | Workforce training | Promoting Interoperability Readiness



We chose to have ComplyAssistant's professional consultant team distribute our BA assessments, rate each BA assessment for risk and operational compliance, and assign mitigation action items. I would recommend them highly.

CIO, Cape Regional Health System

Expand your compliance team with ComplyAssistant.
Request a free initial consultation today.

complyassistant.com/request-demo
800.609.3414

Mobile Auditing

Still doing audits with paper and pen? Mobilize your audit teams with ComplyAssistant.

Our mobile application is easy to use, making walkthroughs and audits faster and more efficient. No more handwritten notes and manual reports.

With a smartphone or tablet, simply log in, select the facility and type of audit, and the app will take you step-by-step through the audit process. When you're done, your audit data is securely synced with our software.

The app comes pre-loaded with a picklist including dozens of walkthrough statements, but can be customized to your needs and workflow.

Flexible and customizable for:

- HIPAA privacy and security audits in facilities
- Environmental and patient safety audits
- Internal audits
- Department quality accreditation audits
- Workforce competency audits
- Nursing rounds
- Anywhere manual process exists



ComplyAssistant's mobile app allows our team to perform audits and take notes directly into the system, bypassing paperwork. Customizable reports can be built right in to the program for our needs. It's a real time-saver!

Privacy Officer at Metro Health – University of Michigan Health

Try our mobile app free for 30 days

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Vendor Risk Management

Did you know that a typical healthcare facility can have hundreds of business associates? ComplyAssistant's cloud-based software is your tool to more easily audit third-party vendors. Our platform helps you manage high volume with management by exception.

Manage unlimited BAs

Collect data and communicate securely with an unlimited number of BAs to assess risk. With our software, your BAs can complete surveys directly in our online portal.

Single source of truth

No more Excel spreadsheets, binders or documents scattered in various departments. With ComplyAssistant, all third-party vendor documentation lives in one place. Easy to access. Easy to update.

Focus where it counts

Our solution helps you focus management of BAs based on risk level – high, medium or low – depending on the scope of service as it relates to PHI.

Automated notifications and logs

Have you tried manually sending reminders to all of your BAs? Our software reduces operational time and cost by automatically reminding BAs to complete open assessments and tasks.

Detailed and summary reports

Analyze trends and follow up on action items using both detailed and summary reports for each BA in your system.



We selected ComplyAssistant to help us with our business associate third party management program. Moving from a 'hard-copy' audit approach to an efficient electronic approach has made this daunting ongoing process more efficient and manageable. We highly recommend ComplyAssistant.

Technology Director – ISO, Inspira Health Network



Ready for a vendor risk management consultation?
Sign up today.

complyassistant.com/request-demo
800.609.3414



ComplyAssistant: Your GRC Partner

Who we are

- ▶ Founded in 2002 to provide healthcare IT services, focused on strategic planning and information privacy and security
- ▶ Experts in risk assessment, risk mitigation and attestation readiness
- ▶ Steadfast advocates for a culture of compliance, where security and compliance are foundational to healthcare operations

What we do

- ▶ Help healthcare organizations manage and reduce risk
- ▶ Help organize and manage complex security and compliance processes
- ▶ Provide Governance, Risk and Compliance (GRC software) that includes:
 - ▶ Task Management
 - ▶ Project Management
 - ▶ Event Management
 - ▶ Regulation Management
 - ▶ Contract Management
 - ▶ Auditing
 - ▶ Assessments
 - ▶ Documentation
- ▶ Provide companion consulting services to perform internal and external (third-party) risk assessments and security audits

How we do it

- ▶ Cloud-based, comprehensive GRC software for healthcare and other verticals
- ▶ Collaborative consulting services that act as an extension to your internal security and compliance teams
- ▶ Cutting edge mobile technology for field auditing
- ▶ Auditing and risk management to support various departments, including Compliance, Risk, Legal, IT, Clinical, Finance and Quality

100+ healthcare
customers nationwide

Cloud-based,
secure GRC software

Use with any
security regulation or framework

Scalable
for any size organization

Unlimited
users and locations

Unlimited third-party
vendor risk management

User-friendly
mobile auditing app

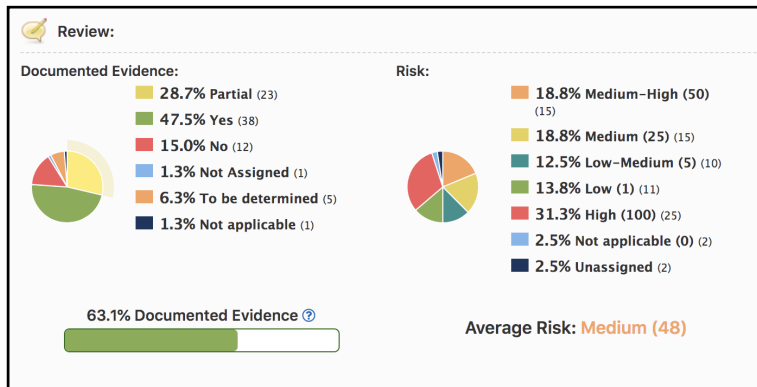
COVID-19 Readiness Checklists

We stand with every single healthcare provider doing their utmost to keep our communities safe and healthy during the global COVID-19 pandemic.

ComplyAssistant has added COVID-19 readiness checklists from a variety of industry-leading sources, including the CDC, WHO, HHS, AAFP and others, to our GRC software. All COVID-19 checklists are available for use by hospitals, LTC and behavioral health facilities, clinics and practices.

With unlimited access to our GRC software, each facility in your organization will be able to:

- 1) Access any individual checklist, or all available checklists, to gather readiness data on hundreds of emergency and business continuity activities.
- 2) Load all documentation in a single source of truth, including evidence documents, images and other related files. All documentation is held in our secure application, giving you a permanent record of activity should you need it for regulatory audits or future disaster recovery planning.
- 3) Create and assign tasks and deadlines, to help manage areas in process or not yet started.
- 4) Report to executive leadership, using dashboards that present checklist progress and gaps for mitigation.



Our team is standing by to help in any way we can.
Call us at 800.609.3414 to request setup of the COVID-19 checklists.



“We wanted to make it as easy as possible for healthcare providers to gather information, upload and link documentation and manage incidents. Using these checklists will help teams create a structured, organized update to their disaster recovery/business continuity plans.”

--Gerry Blass,
President and CEO,
ComplyAssistant



complyassistant.com/contact
800.609.3414

Risk Register

Easy-to-use risk register software to document, assess and mitigate risk across the enterprise.

A typical risk register will include a centralized inventory of all risks, by location, and allows you to assign a risk level. Once you have a full documented register – a holistic view into risk across the enterprise – it's much more efficient to then map those risks through the lens of certain security frameworks, such as HIPAA, NIST CSF, HITRUST, PCI and others.

How ComplyAssistant's risk register works

ComplyAssistant's easy-to-use risk register module takes you through 6 comprehensive steps of collecting and assessing risk across the organization.

For more information, please visit www.complyassistant.com/grc-software/risk-register

1



Identify and inventory all threats

Within our tool, you will document all threats throughout the organization. Each threat can be tagged by type of threat, such as environmental, human, computer systems, or network. The risk register module within our GRC software comes standard with a library of threats you can use immediately. You also have the flexibility to create your own threat list or modify the pre-set library based on the requirements of your organization.

2



Assess risk level

Within our risk register tool, you can also assess the risk level for each threat, based on two inputs:

- Likelihood of incident – How likely is it that the threat event would actually occur?
- Impact to the organization – What would be the total impact if the incident did occur?

The risk register tool will automatically calculate an inherent risk level based on your inputs.

3



Choose how best to address each risk

Using the calculated risk level, you can then document how your organization chooses to manage the risk in four categories: avoid, control, accept or transfer. Our risk register module comes standard with a library of pre-set controls, or you can choose to create your own.

4



Determine residual risk

Once controls are documented, the risk register will then calculate residual risk, indicating which areas need more attention in order to mitigate.

5



Determine maturity level

Developed in conjunction with our partners at Kardon, the ComplyAssistant Risk Register also includes a unique feature in the market, which calculates how mature your plan is based on documentation, training and preparedness of the incident team.

6



Assign an action plan

With all threats documented, risk levels assigned, and maturity stages determined, your organization will then have a complete view into enterprise risk, and a prioritized list of where to focus resources. Within the Risk Register, you can assign controls, actions and tasks to various members of your team, and track progress along the way.

DRBC Consulting Services

ComplyAssistant helps you update your current DRBC plan to consider today's world of cybersecurity attacks.



Traditional DRBC plans include two phases:



Disaster Recovery (DR) defines how an organization's IT department will recover from a natural or manufactured disaster. The processes within this phase have included server and network restoration, copying backup data, and provisioning backup systems.



Business Continuity (BC) focuses on the business operations side of DRBC. It has involved designing and creating policies and procedures that ensure essential business functions and processes are available during and after a disaster. BC has included staff replacement, service availability issues, business impact analysis, and change management.

DRBC plans based on the National Institute of Standards and Technology (NIST) from 2010 included strategies to deal with the business impact of up to 72 hours of system/network downtime, which was a reasonable assumption at the time.

Today's DRBC plans must consider a more significant business impact.

Ransomware attacks have extended potential downtime beyond 72 hours, up to 30 days or more. Healthcare organizations must therefore re-evaluate their DRBC plans to consider extended downtime.

The possible scenarios are dramatic and require critical business strategies that go beyond technology and systems. For example, extended downtime can impact patient safety, not just information technology.

Does your organization have an updated DRBC plan based on extended downtime?

If not, you should consider hiring subject matter experts. Our team understands how to help. We start by assessing the current state of your plan and work with your team to update it. We provide ongoing recommendations for change management.

- ✔ Gain a detailed level of understanding of the current state of the Emergency Management Plan, the Disaster Recovery Plan and the Cybersecurity Plan
- ✔ Conduct a high-level priority business impact analysis (BIA)
- ✔ Create long-term contingency strategies
- ✔ Ensure plan maintenance
- ✔ Review individual information system contingency plans
- ✔ Identify preventive controls. Measures taken to reduce the effects of system disruptions can increase system availability and reduce contingency life cycle costs.
- ✔ Ensure plan testing, training, and exercises
- ✔ Create long-term contingency strategies



Mitigate Risk and Improve Security with a DRBC Plan Today.

For more information, please visit <https://www.complyassistant.com/healthcare-compliance-consultants/drbc>